

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
David Carl BURDICK, et al.

Serial No.: 09/448,356

Filed: November 23, 1999

For: **PHYTOSTEROL AND/OR  
PHYTOSTANOL DERIVATIVES**

)  
) Examiner: S. Quazi

) Art Unit: 1616



New York, New York  
April 21, 2000

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants wish to make of record the following documents (clean copies and Form PTO-1449 are enclosed). Neither this Information Disclosure Statement nor its filing constitutes an admission that any of the documents is prior art or a representation that a search has been made.

U.S. PATENT DOCUMENTS

A3 4,705,875

A4 5,593,691

FOREIGN PATENT DOCUMENTS

B7 WO 00/04887

## OTHER DOCUMENTS

- C8      Shimada, et al., "Enzymatic Synthesis of Steryl Esters of Polyunsaturated Fatty Acids," JAOCS, Vol. 76, No. 6, pp. 713-716 (1999)

These documents were cited in a Search Report dated March 21, 2000 (copy enclosed), and a Communication dated January 28, 2000 (copy enclosed), which issued in foreign counterpart applications.

Applicants request that these documents be considered by the Examiner before issuance of the next action and made of record in this file. The Examiner is also asked to initial and return a copy of the enclosed PTO-1449 form to evidence such consideration.

Please note that copies of U.S. 4,588,717 and WO 98/06405 are not being submitted, since each was made of record in Applicants' Information Disclosure Statement mailed on January 28, 2000.

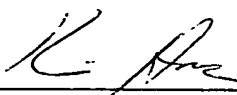
This Information Disclosure Statement is being filed in accordance with the following provisions:

- ☒      The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

If a check has not been submitted but it is determined that a fee is required as set forth in 37 CFR § 1.17(p) or 1.17(i)(1), or if any additional fees are required, please charge such

fee (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this document is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on April 21, 2000.

  
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Respectfully submitted,

By: 

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